



**REGULATORY LICENSING UNIT**  
**IN-STATE WHOLESALE DISTRIBUTORS OF PRESCRIPTION DRUGS**  
**Minor Amendment License Application**

**DRUG DIST -RX**  
**2501**

(Health and Safety Code, Chapter 431)

Return both the completed application, and non-refundable fee made payable to:  
 Texas Department of State Health Services, RLU, Food & Drug Licensing,  
 P.O. Box 12008, Austin, Texas 78711

BUDGET: ZZ114  
 FUND: 183  
  
 LICENSE #

If you are a drug manufacturer, or an in-state drug distributor who distributes only compressed medical gases, and required to be licensed as a device distributor and/or food wholesaler, contact this office at (512) 834-6727 for the correct application.

Name Under Which Business is Conducted (DBA): \_\_\_\_\_

Physical Address to be Licensed: \_\_\_\_\_

City, County, State, Zip Code: \_\_\_\_\_

Telephone # at address: (\_\_\_\_\_) \_\_\_\_\_

**Type of Operation:** (Check all that apply)  
 Wholesale Distributor       Medical Gas Distributor       Own label distributor       Broker  
 Charitable Drug Donor       Other \_\_\_\_\_

**Type of Drugs:** (Check all that apply)  
 Prescription       Nonprescription       Bulk Active Pharmaceutical Ingredient  
 Veterinary       Biologics       Controlled Substance (DEA# \_\_\_\_\_)

**FEE SCHEDULE FOR IN-STATE PRESCRIPTION DRUG WHOLESALERS**

The fee is based on **gross annual sales** for **ALL** drugs wholesaled at the licensed place of business. This includes distribution to a person other than a consumer or patient including, but not limited to, distribution to any person by a manufacturer, repacker, own-label distributor, jobber, private label distributor, broker, manufacturer warehouse, distributor warehouse, or other warehouse, manufacture's exclusive distributor, drug wholesaler or distributor, distributor, independent wholesale drug trader, specialty wholesale distributor, third party logistics provider, retail pharmacy that conducts wholesale distribution, and pharmacy warehouse that conducts wholesale distribution.

GROSS ANNUAL DRUG SALES	FEE FOR MINOR AMENDMENT
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<b>Medical Gas ONLY Distributors</b>	<input type="checkbox"/> \$ 0.00 - \$ 20,000.00	=	\$ 337.00 for each establishment
<input type="checkbox"/> LV1	\$ 0.00 - \$ 199,999.99	=	\$ 540.00 for each establishment
<input type="checkbox"/> LV2	\$ 200,000.00 - \$19,999,999.99	=	\$ 877.00 for each establishment
<input type="checkbox"/> LV3	\$ 20,000,000.00 - \$ or more	=	\$ 1,147.00 for each establishment

**Late Fee** - A person who files a renewal application after the expiration date must pay an additional \$100.00.  
**ANY RETURNED CHECKS RECEIVED AFTER EXPIRATION DATE WILL BE ASSESSED THE \$100.00 LATE FEE.**

**ADDITIONAL DOCUMENTATION REQUIRED: All documents must be submitted prior to issuance of license**  
**(Medical Gas ONLY Distributors are not required to complete attachment A & B)**

- A list of all licenses and permits issued to the applicant by any other state under which the applicant is permitted to purchase or possess prescription drugs. If applicant or firm is **not** licensed with other states please check here:
- Completed Attachment A.
- Required additional information as listed on Attachment B.

**Exemption from license fee:**  
 25 TAC 229.427 - A person is exempt from the license fees required by this section if the person is a charitable organization, as described in the Internal Revenue Code of 1986, 501(c)(3), or a nonprofit affiliate of the organization. **Please attach copy of 501(c)(3) with application.**  
 25 TAC 229.21-25 -As defined in the rules as a charitable drug donor that is exempt from license fee.

VERIFICATION: I SWEAR OR AFFIRM THAT ALL INFORMATION IN THIS APPLICATION IS TRUE AND CORRECT. I FURTHER CERTIFY BY SIGNATURE HEREON, THAT I AM AUTHORIZED TO EXECUTE THIS DOCUMENT ON BEHALF OF THE CORPORATION AND AM ELIGIBLE TO RECEIVE A LICENSE. IF SIGNING THIS AS OWNER OF A SOLE PROPRIETORSHIP, I AM NOT DELINQUENT IN THE PAYMENT OF ANY CHILD SUPPORT OWED UNDER CHAPTER 232, FAMILY CODE. IF SIGNING AS A SOLE PROPRIETOR, I CERTIFY I HAVE FILED THE ASSUMED NAME CERTIFICATE IN APPROPRIATE COUNTIES PURSUANT TO BUSINESS AND COMMERCE CODE, CHAPTER 36. I FURTHER CERTIFY THAT I HAVE READ AND UNDERSTAND CHAPTER 431 OF THE HEALTH & SAFETY CODE, THE APPLICABLE PROVISIONS OF 25 TEXAS ADMINISTRATIVE CODE, CHAPTER 229, AND AGREE TO ABIDE BY THEM.

<b>Print Name:</b>   	<b>Title:</b> <input type="checkbox"/> Owner <input type="checkbox"/> President <input type="checkbox"/> Partner <input type="checkbox"/> Corporate Designee / Agent
	<b>Date:</b>  

**PURPOSE OF THIS APPLICATION:** Mark appropriate box to indicate purpose of application, and/or any change in status of firm.  
Please Note: Initial licenses will expire two years from date of payment receipt by the Department.

- New** - Start Date of Regulated Activity: \_\_\_\_\_
- Change of Ownership or Change of Legal Entity:** requires submission of an initial application and fee as listed on Page 1.

**PLEASE NOTE: If change affects multiple licensed locations contact us at 512-834-6727 prior to submitting application.**

- Previous owner name \_\_\_\_\_
- Previous legal entity name: \_\_\_\_\_
- Effective Date of Change: \_\_\_\_\_

- Amended** -  Change of Location [previous location: \_\_\_\_\_] } Enter the date the change  
 Change of Name [previous name: \_\_\_\_\_] } was effective:  
 Other: } Date: \_\_\_\_\_

Any minor amendment including change of name or change in the location of a licensed place of business requires submission of a minor amendment application and fee as listed on page 1 of that application. The current expiration date remains in effect.

**Renewal** - Renewals are valid from the anniversary date. **Failure to submit the renewal fee before the expiration date will result in a delinquency fee for each location and must be remitted before the license or permit will be issued.**

- Notice that firm is out of business.** Date out of business: \_\_\_\_\_  **Not required to license/permit**  
Sign and date 1<sup>st</sup> page and return original license for deletion from our records. Reason: \_\_\_\_\_

**RESPONSIBLE INDIVIDUAL IN CHARGE AT PHYSICAL ADDRESS**

A license cannot be issued for manufacturing or holding of foods for distribution in any room used as living or sleeping quarters; or for the manufacturing, assembling, testing, processing, packing, holding or labeling of drugs and/or devices from any personal residence.

**\*Please Note: Only** drug, device, and/or certificate of authority applicants are required to fill in residence address, driver's license number, and date of birth.

\_\_\_\_\_  
Name & Title \*Residence Address \*Driver's License Number \*Date of Birth

**BUSINESS HOURS OF OPERATION:** \_\_\_\_\_ m. to \_\_\_\_\_ m.

**WEBSITE/ INTERNET ADDRESS:** http://www.\_\_\_\_\_

**BILLING INFORMATION** (The license and/or courtesy renewal notice will be sent to the following):

Billing Name: \_\_\_\_\_

Billing Address: \_\_\_\_\_

City, State, Zip Code: \_\_\_\_\_

Name of Application Preparer (Contact Person): \_\_\_\_\_

Telephone Number of Application Preparer (Contact Person): \_\_\_\_\_

Fax Number of Application Preparer (Contact Person): \_\_\_\_\_

E-mail Address of Application Preparer: \_\_\_\_\_

PRIVACY NOTIFICATION: With few exceptions, you have the right to request and be informed about information that the State of Texas collects about you. You are entitled to receive and review the information upon request. You also have the right to ask the state agency to correct any information that is determined to be incorrect. You may visit our website listed below for more information on the Privacy Notification (Reference: Government Code, Section 522.021, 522.023 and 559.004).

**ALL THREE PAGES OF THE APPLICATION FORM MUST BE COMPLETED BEFORE A LICENSE WILL BE ISSUED. Please allow 4-6 weeks for processing.**

Visit our website at: [www.dshs.state.tx.us](http://www.dshs.state.tx.us)

Please address **correspondence only** to:  
Texas Department of State Health Services  
RLU, Food and Drug Licensing Group, MC 2835  
PO Box 149347  
Austin, Texas 78714-9347

BE CERTAIN TO COMPLETE ALL PAGES OF THIS FORM  
PAGE 2 OF 3

**LICENSE HOLDER INFORMATION:** Please enter the 11 digit State Tax Payer's Identification number or Federal Identification number.

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**\*\*Please Note: For ONLY Drug, Device, and/or Certificate of Authority Applications:**

\* Has the applicant, licensee, and/or managing officer(s) been convicted of a felony or misdemeanor?  Yes  No  
**(If yes, please attach a statement explaining the conviction.)**

\* Please include a copy of Driver's License with application.

\* Applicants are required to fill in residence address, driver's license number, and date of birth below.

**SOLE OWNER / PROPRIETORSHIP**

Name of Sole Owner: \_\_\_\_\_  
Residence Address \_\_\_\_\_ DLN \_\_\_\_\_ DOB \_\_\_\_\_

**Partnership**  LP  LLP  LTD Effective Date of Partnership \_\_\_\_\_

Name of Partnership: \_\_\_\_\_

Partnership Address: \_\_\_\_\_ / \_\_\_\_\_ / \_\_\_\_\_ / \_\_\_\_\_  
ADDRESS CITY ST ZIP

**\* Each of the Below Must Include: Name, Residence Address, Drivers License Number & Date of Birth:**

Partner Name: \_\_\_\_\_  
Residence Address \_\_\_\_\_ DLN \_\_\_\_\_ DOB \_\_\_\_\_

Partner Name: \_\_\_\_\_  
Residence Address \_\_\_\_\_ DLN \_\_\_\_\_ DOB \_\_\_\_\_

Partner Name: \_\_\_\_\_  
Residence Address \_\_\_\_\_ DLN \_\_\_\_\_ DOB \_\_\_\_\_

**Association**  **State Agency**

Name of Association / State Agency: \_\_\_\_\_

Address: \_\_\_\_\_ / \_\_\_\_\_ / \_\_\_\_\_ / \_\_\_\_\_  
ADDRESS CITY ST ZIP

**\* Each of the Below Must Include: Name, Residence Address, Drivers License Number & Date of Birth:**

Name: \_\_\_\_\_  
Residence Address \_\_\_\_\_ DLN \_\_\_\_\_ DOB \_\_\_\_\_

Name: \_\_\_\_\_  
Residence Address \_\_\_\_\_ DLN \_\_\_\_\_ DOB \_\_\_\_\_

**Corporation**  **LLC** Date and Place of Incorporation: \_\_\_\_\_

Corporation Name: \_\_\_\_\_

Corporation Address: \_\_\_\_\_ / \_\_\_\_\_ / \_\_\_\_\_ / \_\_\_\_\_  
ADDRESS CITY ST ZIP

**\*Each of the Below Must Include: Name, Residence Address, Drivers License Number & Date of Birth:**

President Name: \_\_\_\_\_  
Residence Address \_\_\_\_\_ DLN \_\_\_\_\_ DOB \_\_\_\_\_

Officer's Name: \_\_\_\_\_  
Residence Address \_\_\_\_\_ DLN \_\_\_\_\_ DOB \_\_\_\_\_

Officer's Name: \_\_\_\_\_  
Residence Address \_\_\_\_\_ DLN \_\_\_\_\_ DOB \_\_\_\_\_

Name of Registered Agent: \_\_\_\_\_  
Residence Address \_\_\_\_\_ DLN \_\_\_\_\_ DOB \_\_\_\_\_

**ATTACHMENT A  
APPLICANT QUALIFICATIONS**

To qualify for the issuance or renewal of a license as a wholesale distributor and/or manufacturer of prescription drugs under these sections, the designated representative of an applicant or license holder must:

- (1) Be at least 21 years of age.
- (2) Have been employed full-time for at least three years by a pharmacy or a wholesale distributor in a capacity related to the dispensing or distributing of prescription drugs, including recordkeeping for the dispensing or distributing of prescription drugs.
- (3) Be employed by the applicant full-time in a managerial-level position.
- (4) Be actively involved in and aware of the actual daily operation of the wholesale distributor.
- (5) Be physically present at the applicant's place of business during regular business hours, except when the absence of the designated representative is authorized, including sick leave and vacation leave.
- (6) Serve as a designated representative for only one applicant at any one time.
- (7) Not have been convicted of a violation of any federal, state, or local laws relating to wholesale or retail prescription drug distribution or the distribution of controlled substances.
- (8) Not have been convicted of a felony under a federal, state, or local law.

I, \_\_\_\_\_, in my official capacity as the designated representative of the applicant or license holder, do hereby attest I meet all of the qualifications above.

\_\_\_\_\_  
Signature of Designated Representative

Given and signed in the City of \_\_\_\_\_, State of \_\_\_\_\_, this \_\_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_\_.

The State of \_\_\_\_\_,  
County of \_\_\_\_\_,

Before me, on this day personally appeared \_\_\_\_\_, known to me to be the person whose name is subscribed to the foregoing instrument and acknowledged to me that he/she executed the same for the purposes and consideration therein expressed.

Given under my hand and seal of office this \_\_\_\_\_ day of \_\_\_\_\_, A.D., 20\_\_\_\_\_.

\_\_\_\_\_  
Notary Public

**Please Note:**

**Failure to provide documents as required may result in a significant delay in licensing or denial of licensure. Each attachment must be completed by a designated representative who is located at the physical address of the business, being licensed. Forms/Attachments MUST be notarized. Attachments A & B must be completed for each designated representative.**

For additional information or assistance, please call (512) 834-6727.

**ATTACHMENT B**

For each person who is a designated representative and/or a manager of each place of business, the applicant shall provide the following information to the department as per 25 TAC Chapter 229.425

**Note: Any information and/or document submitted in response to requirements MUST be signed before a Notary Public.**

1. List the person's place(s) of residence for the past seven years:

_____		
(Street Address)		
_____	_____	_____
(City)	(ST)	(Zip Code)
_____		
(Street Address)		
_____	_____	_____
(City)	(ST)	(Zip Code)
_____		
(Street Address)		
_____	_____	_____
(City)	(ST)	(Zip Code)

2. List person's date and place of birth:

_____	____/____/____
(Place)	(Date: MM/DD/YYYY)

3. List the person's occupations, positions of employment, and offices held during the past seven years:  
**(Note: Do NOT Attach Resumes)**

_____	_____
(Occupation/Position of Employment)	(Office Held)
_____	_____
(Occupation/Position of Employment)	(Office Held)
_____	_____
(Occupation/Position of Employment)	(Office Held)

4. List the business name and address of any business, corporation, or other organization in which the person held an office as sole proprietor, partner, principal, and/or officer; or in which the person conducted an occupation or held a position of employment:

_____	_____	
(Business Name)	(Office Held)	
_____		
(Street Address)		
_____	_____	_____
(City)	(ST)	(Zip Code)
_____		
(Business Name)	(Office Held)	
_____		
(Street Address)		
_____	_____	_____
(City)	(ST)	(Zip Code)

5. Provide a statement of whether during the preceding seven years the person was the subject of a proceeding to revoke a license and the nature and disposition of the proceeding:

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6. Provide a statement of whether during the preceding seven years the person has been enjoined, either temporarily or permanently, by a court from violating any federal or state law regulating the possession, control, or distribution of prescription drugs, including the details concerning the event:

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7. Provide a written description of any involvement by the person with any business, including any investments, other than the ownership of stock in a publicly traded company or mutual fund during the past seven years, that manufactured, administered, prescribed, distributed, or stored pharmaceutical products and any lawsuits in which the businesses were named as a party:

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8. Provide a description of any felony offense for which the person, as an adult, was found guilty, regardless of whether adjudication of guilt was withheld or whether the person pled guilty or nolo contendere:

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9. Provide a description of any criminal conviction of the person under appeal, a copy of the notice of appeal for that criminal offense, and a copy of the final written order of an appeal not later than the 15th day after the date of the appeal's disposition:

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10. Attach a photograph of the person taken not earlier than 30 days before the date the application was submitted. **(Note: Do NOT submit Employee ID, state or government issued identification).**



I, \_\_\_\_\_, in my official capacity as the designated representative of the  
(Print Legibly)  
applicant or license holder, do hereby attest I meet all of the qualifications above.

\_\_\_\_\_  
Signature of Designated Representative / Manager

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Given and signed in the State of \_\_\_\_\_, City of \_\_\_\_\_,  
County of \_\_\_\_\_, this \_\_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_\_.

Before me, on this day personally appeared \_\_\_\_\_, known to me to be the person  
(Print Legibly)  
whose name is subscribed to the foregoing instrument and acknowledged to me that he/she executed the same for the  
purposes and consideration therein expressed.

Given under my hand and seal of office this \_\_\_\_\_ day of \_\_\_\_\_, A.D., 20\_\_\_\_\_.

\_\_\_\_\_  
Notary Public

NOTARY SEAL

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