

HEALTH CARE DATA COLLECTION





CHS Core Functions

- Data Collection and Management
- Public Health Research
- Health Information Dissemination
- Professional and Technical Expertise



Patient Discharge Data Team (THCIC)

- Collects and reports on patient discharge data from hospitals to enable individuals in Texas to make informed health care decisions
- Collects and disseminates health maintenance organizations data to enable consumer comparisons
- Facilitates research into healthcare quality and charges (costs)
- Provides Texas Public Use Data File (PUDF)

Data and Reports

- Annual Reports
 - Indicators of Inpatient Care in Texas Hospitals, 2006
 - Quality of Children's Care in Texas Hospitals, 2006
- Other Reports
 - Facts and figures: Statistics on Hospital
 Based care in Texas, 2006
 - Preventable hospitalizations in Texas, 2005

CHS Legislative Issues

- 1. Update of Agency name Texas Health Care Information Council to Department of the State Health Services.
- 2. Clarify and modify language to be less confusing and more operational. (*E.g., Modify language regarding the term Provider Quality Data to be Provider Quality Reports. Establish term for utilization reports from public use data, that do not require facility review.*)

CHS Legislative Issues

- Data sharing/linking initiative
 Within the department, using patient identifiers, which is currently not allowed Benefits
 - Quality control; 30-day mortality; Cancer research – tracking complications; Linking out and inpatient data files; Tracking patients (state hospitals)

Consumer Guide to Health Care

 Guide for the consumer on the quality of healthcare and to healthcare billing and pricing practices - SB 1731 requirement

http://www.dshs.state.tx.us/thcic/ConsumerGuide/ConsumerGuide.shtm

Statutory and Data Submission Issues



SB 1731 Requirements

- Senate Bill 1731 (SB 1731) (80th Texas Legislature)
 - Amended Chapter 108, Health and Safety Code http://www.statutes.legis.state.tx.us/SOTWDocs/HS/pdf/HS.108.pdf
 - SECTION 2. Subdivision (10), Section 108.002,

Defines "Health care facility"

- SECTION 3. Subsection (k), Section 108.009,
 - Prioritize data collection efforts on inpatient and outpatient surgical and radiological procedures from hospitals, ambulatory surgical centers, and freestanding radiology centers.

Outpatient Rules

- Outpatient Data Rules Adopted November 28, 2008. 33 Tex Reg 9694 Texas Register
- Effective February 26, 2009
- Implementation is scheduled to begin July 1, 2009 (3rd Quarter Data)

http://www.sos.state.tx.us/texreg/pdf/backview/1128/1128adop.pdf

or

http://www.sos.state.tx.us/texreg/archive/November282008/adopted/ 25.HEALTH%20SERVICES.html#300

Website

http://www.dshs.state.tx.us/thcic/OutpatientFacilities/OutpatientReportingRequirements.shtm

Address 🗃 http://www.dshs.state.tx.u	s/thcic/OutpatientFacilities/OutpatientReportingRequirements.shtm	→
An agency of the Texas Health and Human Services System.	Outpatient Data Reporting Requirements	
Data and Reports	Texas Health Care Information Collection	
Training	Center for Health Statistics	
Calendar		
General Information	Who needs to report	
Center for Health Statistics	All hospitals and ambulatory surgery centers in the State of Texas, except most rural providers and other specifically exempted facilities, are required to report data on patients who had surgical or radiological procedur covered by specific revenue codes.	res
Mailing Address THCIC Dept. of State Health Services Center for Health Statistics, MC 1898 PO Box 149347 Austin, Texas 78714-9347	 <u>Hospital/ Ambulatory Surgery Centers (ASC) Listing</u> (Excel format, 461K), with names and addresses, county CEO, primary and alternate THCIC liaisons, reporting status, and facility type, as of December 11, 2008. See the Texas Association of Counties website for a <u>map</u> (PDF format, 3 MB) of hospital locations. <u>Update information about your facility</u> (PDF format, 45 KB) and return the form to THCIC. 	
Location Moreton Building, M-660 1100 West 49th Street Austin, TX 78756 512-458-7261 512-458-7740 (fax)	 What, how and when to report Final rules for the collection and release of patient level data relating to patients that have surgical or radiologic procedures (under specified revenue codes) performed in Texas hospitals (as an outpatient service including in the emergency department) or ambulatory surgical centers have been adopted and are published in the November 28, 2008 issue of the <u>Texas Register</u>. Facilities are required to report data on patients who had surgical or radiological procedures that are covered by specific <u>revenue codes</u>. 	
	 <u>Outpatient Data Reporting Schedule</u>, includes dates for the reporting, correction and certification of outpatient data beginning with services provided in third quarter 2009. 	Ċ

Technical Requirements

http://www.dshs.state.tx.us/thcic/OutpatientFacilities/OutpatientReportingRequirements.shtm

This is where you can intended for the hospital and ambulatory surgery center IT staff or software vendor that will create the electronic data submission.
download the Technical Specifications Manual. For you or your vendor. • THCIC 837 Technical Specifications (Word format, 2:1MB) 3M™"'s Clinical Risk Grouping Software is being considered for stratifying outpatient data. Additional information or the CRGs: • CRG Software Brochure • Summary Description of Clinical Risk Groups (CRGs) • 3M™ Clinical Risk Grouping Software Solutions for Consultants • 3M™ Clinical Risk Grouping Software Solutions for Pavers Hospital And Ambulatory Surgery Centers Numbered Letters • A regular publication to keep all hospitals and ambulatory surgery centers informed of the discharge data collection process. (PDF format) • Yolume 11, Number 9 (56KB) - December 1, 2008 • Yolume 11, Number 7 (57KB) - October 24, 2008 • Yolume 11, Number 5 (58KB) - August 29, 2008 • Yolume 11, Number 4 (53KB) - June 10, 2008 • Yolume 11, Number 4 (53KB) - May 27, 2008 • Yolume 11, Number 1 (53KB) - May 27, 2008 • Yolume 11, Number 1 (53KB) - May 1, 2008

Data Submission Timeline

http://www.dshs.state.tx.us/THCIC/OutpatientFacilities/schedule.shtm

This provides you a list of quarterly activity due dates

Key Activity Due Dates by Quarter									
Activity	Q3 2009	04 2009	Q1 2010	Q2 2010	Q3 2010	Q4 2010			
Cutoff for initial submission	12-1-09	3-1-10	6-1-10	9-1-10	12-1-10	3-1-11			
Cutoff for corrections	2-1-10	5-1-10	8-1-10	11-1-10	2-1-11	5-1-11			
Facilities retrieve certification files	3-1-10	6-1-10	9-1-10	12-1-10	3-1-11	6-1-10			
Cutoff for corrections at time of certification	4-15-10	7-15-10	10-15-10	1-15-11	4-15-11	7-15-10			
Final encounters available to facilities	5-1-10	8-1-10	11-1-10	2-1-11	5-1-11	8-1-11			
Certification letters/comments due	6-1-10	9-1-10	12-1-10	3-1-11	6-1-11	9-1-11			

Quarterly Activities by Due Date

Date	Activity	Quarter
12-1-09	Cutoff for initial submission	Q3 2009
2-1-10	Cutoff for corrections	Q3 2009
3-1-10	Facilities retrieve certification files	Q3 2009
3-1-10	Cutoff for initial submission	Q4 2009
4-15-10	Cutoff for corrections at time of certification	Q3 2009
5-1-10	Final encounters available to facilities	Q3 2009
5-1-10	Cutoff for corrections	Q4 2009

Required Format (1)

THCIC Requires either

1. American National Standards Institutes, Accredited Standards Committee X12, National Electronic Data Interchange Transaction Set Implementation Guide, Health Care Claim: Institutional, 837, ASC X12N 837 (004010X096), May 2000 version, and the addenda published by the Washington Publishing Company in October 2002 (**ANSI 837 Institutional Guide,** 004010X096A1) or

Required Format (2)

THCIC Requires either

 American National Standards Institutes, Accredited Standards Committee X12, National Electronic Data Interchange Transaction Set Implementation Guide, Health Care Claim: Professional, 837, ASC X12N 837 (004010X098), May 2000 version, and the addenda published by the Washington Publishing Company in October 2002 (ANSI 837 Professional Guide, 004010X098A1) which can be purchased and downloaded from the following website: <u>http://www.wpc-edi.com/content/view/533/377/</u>

Required Format (3)

A rule of thumb: If a facility or vendor submits a HIPAA compliant ANSI 837 <u>Institutional</u> Guide or <u>Professional</u> Guide formatted file with the additional required fields listed below, then that data file should pass the audits at our data collection vendor SYSTEM 13.

- 1. THCIC ID Number
- 2. Patient Race Code
- 3. Patient Ethnicity Code

Required 837 Inst Data

- (1) Patient Name:
- (2) Patient Address:
- (3) Patient Birth Date;
- (4) Patient Sex;
- (5) Patient Race (A new data element);
- (6) Patient Ethnicity (A new data element);
- (7) Patient Social Security Number;
- (8) Patient Account Number;
- (9) Patient Medical Record Number;
- (10) Claim Filing Indicator Code (primary and secondary);
- (11) Payer Name Primary and secondary (if applicable, for both);
- (12) National Plan Identifier
- (13) Type of Bill (Facility Type Code plus Claim Frequency Code);

Required 837 Prof Data

- (1) Patient Name:
- (2) Patient Address:
- (3) Patient Birth Date;
- (4) Patient Sex;
- (5) Patient Race (A new data element);
- (6) Patient Ethnicity (A new data element);
- (7) Patient Social Security Number;
- (8) Patient Account Number;
- (9) Patient Medical Record Number (if applicable);
- (10) Claim Filing Indicator Code (Payer Source primary and secondary (if applicable for secondary payer source);
- (11) Payer Name Primary and secondary (if applicable, for both);

Required 837 Data

	🥖 Texas Department of State He	alth Services , Cen	nter for Health Statistics - Windows Internet Exp	lorer	- 7 🛛
	😋 💽 👻 🙋 http://www.dshs.sta	ate.tx.us/thcic/Outpati	ientFacilities/OutpatientReportingRequirements.shtm	🔽 🐓 🔀 Google	P •
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	Location Moreton Building, M-660 1100 West 49th Street Austin, TX 78756 512-458-7261 512-458-7740 (fax)	Final rule procedu the eme	ires (under specified revenue codes) performed	l data relating to patients that have surgical or radiolog i in Texas hospitals (as an outpatient service including i iters have been adopted and are published in the	-
			s are required to report data on patients who h revenue codes.	ad surgical or radiological procedures that are covered	by
specificatio	current technica ons for reporting ting data can be	data beg Training Technica Te	ginning with services provided in third quarter 3 will be available beginning in the spring of 200 al Specifications echnical Specifications, the current specification itended for the hospital and ambulatory surger	or the reporting, correction and certification of outpatien 2009. 19 on data submission, correction and certification. ons for reporting and correcting data. The manual is y center IT staff or software vendor that will create the	=
		3M™'s C the CRG ○ <u>CI</u> ○ <u>SI</u> ○ <u>3I</u> ○ <u>3I</u>	lectronic data submission. • <u>THCIC 837 Technical Specifications</u> (Wo Clinical Risk Grouping Software is being consider	rd format, 2.1MB) red for stratifying outpatient data. Additional information <u>CRGs)</u> <u>s for Consultants</u> <u>s for Payers</u>	
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Revenue Codes

http://www.dshs.state.tx.us/THCIC/OutpatientFacilities/RevenueCodes.shtm

The following list of revenue codes is taken from Chapter 421 of the Texas Administrative Code:

§421.67. Event Files--Records, Data Fields and Codes.

(f) Facilities shall submit the required minimum data set to DSHS for each patient who has one or more of the following revenue codes for services rendered to the patient in the facility.

Revenue Codes

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An agency of the Texas Health and Human Services System.	Outpatient Da	ta Reporting Requirements		
and numan Services System.				Full list of revenue
Data and Reports		re Information Collection		Full list of revenue
Training	Center for Healt	h Statistics		codes can be found
Calendar				
General Information	Revenue Codes			here.
Center for Health Statistics	The following l	st of revenue codes is taken from Chapter 421 o	the Texas Administrative	Code:
	§421.67. Even	t FilesRecords, Data Fields and Codes.		
Mailing Address				
THCIC Dept. of State Health Services		ies shall submit the required minimum data set to wing revenue codes for services rendered to the		no has one or more of
Center for Health Statistics, MC	une folio	wing revenue codes for services rendered to the	patient in the facility.	
1898 PO Box 149347	(1)	0321 RadiologyDiagnostic Angioca	ardiology	
Austin, Texas 78714-9347	(2)	0322 RadiologyDiagnostic Arthrog		
	(3)	0323 RadiologyDiagnostic Arterio		
Location Moreton Building, M-660	(4)	0329 Radiology-Diagnostic Other F		tic
1100 West 49th Street	(5)	0330 Radiology-Therapeutic Gener		
Austin, TX 78756	(6)	0333 RadiologyTherapeutic Radia		
512-458-7261	(7)	0339 RadiologyTherapeutic Other		utic
512-458-7740 (fax)	(8)	0340 Nuclear Medicine General Clas		
	(9)	0341 Nuclear Medicine Diagnostic	Sincución	
	(10)	0342 Nuclear Medicine Therapeutic		
	(10)	0343 Nuclear Medicine Diagnostic F	harmaceuticals	
	(11)	0344 Nuclear Medicine Therapeutic		
	(12)	0349 Nuclear Medicine Other Nuclea		
	(13)	0350 Computed Tomography (CT)		Charles and Charle



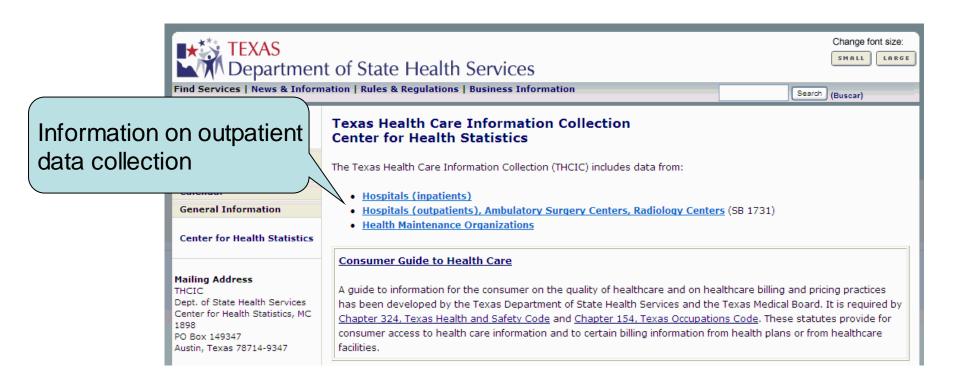
SB 1731 Progress

Outpatient Services Data

- Collected from over 500 hospitals licensed or owned by the state
- Collected from over 350 ASCs licensed by the state
- Exemptions
 - Most facilities located in counties with population less than 35,000
 - Facilities that do not seek reimbursement for providing medical services

Submission Requirements

www.dshs.state.tx.us/thcic/



System 13 Website

All Screenshots are concepts – not final

ebsite Home page		Page 1
ystem13 Formerty Commonwealth Clinical Systems and Computer Services	THCIC Support Center	🕐
Login Username:	Not registered? Request Access	
Password: Forgot your password?	Looking for our Legacy Process Tools? Download Software and Manuals	٦

Data submission – data entry

(Concept)

Web Claim	Use Case 1 - Entering Patient info	Page 4	NOTES
	Claims Claim Correction 21 Reports Ce	ertification I My Account I Help I Logout	User is taken to the first tab of the new claim form
Patient Payer Charges Diagnosis & Proc Practicioners Situational Codes	New Claim Personal Information First Name: Middle: Last Name: (initial) Address: (initial) Address: City: State: Zip Code: Country: Admission Information Image: Control of the image: Control of	Social Security Number: Birth Date: Sex: Male O Female O Unknown Race: Ethnicity: Hispanic origin ONot of Hispanic	
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Data correction

(Concept)

Web Correct	Patient information of	selected claim			Page 26	NOTES
	Claims Claim	Correction 21 Rep	orts Certification	My Account Help	o Logout	
Doe, John	Medical Record Number: MRN	0923322-01 Contr	ol Number: PAN98903	Current Claim 34-01 () C-56248884	•	
2 Patient	Personal Information				S	
Payer 10 Charges	Name John Doe	Social Security Number 449-74	er Sex Male			
✓ Diagnosis & Proc	Address 9892 Apple Rd Irvine, CA 98272	Birth Date 08/09/1958	Race 5 - Asian, Nati	ive Hawaiian or Pacific Is	lander	
Practicioners	United States		Ethnicity 2 - Not of Hisp	banic origin		
✓ Situational Codes	Admission Information Start Care 08/05/2007 5pm Discharge Hour 6pm	Statement From: 08/05/2007 To: 18/05/2007	Type 20 - Newborn ! Patient Status 65 - Dischg to other in	Source 6 - Born Outside the H		clicks on the Edit button

Data Processing

Processes available at System13:

- Data submission
 - File upload
 - Data entry
- Data Correction
- Data Certification
- Systems available for testing May-June 2009
- Training available June 2009

Outpatient Data Files

Public Use Data File (PUDF):

- Record for each patient having a surgical or radiological procedure
- Data suppression to protect patient confidentiality

Data File

Data file will include:

- Basic patient demographic data
- Service date
- Diagnosis codes
- Procedure codes
- Revenue codes

Data File

Data calculated or assigned:

- County
- Aggregate charges
- 3M Clinical Risk Groups
- Uniform Physician Identifier

Public Use Data File

record_id	THCIC ID	PROVIDER_NAME	pat_age	SEX_CODE	PAT_ZIP	PAT_STATE	LENGTH_OF_STAY	PAT_STATUS
420070015061	000105	UT MD Anderson Cancer Center	15	М	77040	TΧ	0003	01
420070011224	000105	UT MD Anderson Cancer Center	12	M	77059	TΧ	0003	01
420070011182	000105	UT MD Anderson Cancer Center	14	М	77320	ΤX	0002	01
420070015391	000105	UT MD Anderson Cancer Center	11	М	77372	ΤX	0002	01
420070012888	000105	UT MD Anderson Cancer Center	14	М	77380	ΤX	0002	01
420070014338	000105	UT MD Anderson Cancer Center	11	M	77382	Τ×	0004	01
420070011804	000105	UT MD Anderson Cancer Center	12	М	77459	ΤX	0002	01
420070013143	000105	UT MD Anderson Cancer Center	14	М	77494	Τ×	0002	01
420070014432	000105	UT MD Anderson Cancer Center	14	М	77657	ΤX	0004	01
420070011869	000105	UT MD Anderson Cancer Center	13	М	79403	ΤX	0001	01
420070016380	000105	UT MD Anderson Cancer Center	07	М	79602	Τ×	0004	01
420070014865	000105	UT MD Anderson Cancer Center	13	М	88888	ZZ	0019	62
420070015253	000105	UT MD Anderson Cancer Center	15	М	88888	ZZ	0002	01
420070015782	000105	UT MD Anderson Cancer Center	18	М	88888	ZZ	0003	01
420070015833	000105	UT MD Anderson Cancer Center	10	М	88888	ZZ	0007	01
420070015234	000105	UT MD Anderson Cancer Center	11	М	×	FC	0014	62
420070012799	000105	UT MD Anderson Cancer Center	12	М	×	FC	0002	01

Public Use Data File

FIRST_PAYMENT_SRC	PRINC_DIAG_CODE	PRINC_SURG_PRO	total_charges	opr_phys_unif_id	att_phys_unif_id	HCFA_DRG	APR_DRG
НМ	1983	0159	34678.35	1359499429	1359499429	002	021
09	2252	0159	54305.35	4019103456	4019103456	002	021
CI	1983	0159	88433.30	1387726103	1387726103	002	021
12	1983	0159	41270.60	2081161850	2081161850	002	021
BL	2251	0401	38041.50	9516018784	9516018784	002	021
12	1911	0159	41919.05	4019103456	4019103456	002	021
НМ	1983	0159	34763.30	1153837264	1153837264	002	021
12	1911	0159	32745.65	2081161850	2081161850	002	021
BL	1913	0123	31243.75	1153837264	1153837264	002	021
CI	2251	0401	53338.30	9516018784	4019103456	002	021
11	1983	0159	37119.25	7721270543	7721270543	002	021
12	1983	0159	47054.05	1359499429	1359499429	002	021
12	1912	0159	53771.40	1359499429	1359499429	002	021
12	2375	0139	19906.80	2081161850	2081161850	002	021
12	2375	0159	52110.15	7721270543	7721270543	002	021
12	1911	0159	101326.40	1387726103	1387726103	002	021
12	2252	0159	31084 20	7721270543	7721270543	002	021

Research Data

Data for Research:

- Available with approval of IRB
- Access to data not included in PUDF
 Date of birth
- Access to data suppressed in PUDF

– Age, ZIP code

- Access to confidential data not permitted
 - Patient name, Social Security Number
 - Physician name, license number or NPI

Is SB 1731 a big deal?



"They've all tested positive for stress."

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Contact

- Center for Health Statistics
 <u>http://www.dshs.state.tx.us/chs/</u>
- Hospital Inpatient Discharge Data Program
 <u>http://www.dshs.state.tx.us/thcic/</u>

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